



GOVERNMENT OF INDIA  
MINISTRY OF POWER

सत्यमेव जयते



आरईसी  
**REC**

असीमित ऊर्जा, अनन्त संभावनाएं  
Endless energy. Infinite possibilities.



# NEF PROPOSAL

**REC LTD**

NODAL AGENCY

## Instructions to DISCOMs for Filling the Proposal Templates under NEF

- A. The comprehensive proposal duly signed (all pages) and complete in all respect, along with copies of supporting documents shall be forwarded to REC in prescribed formats. In case of any assistance/ doubt/ interpretation DISCOMs are requested to contact REC Regional /Corporate Office for clarification.
- B. Templates formats for submission of the proposal are as under;

<b>Template 2</b>	<b><u>Proposal for evaluation of Pre- eligibility Condition:</u></b>  This template consists of information in respect of the pre- eligibility conditions that are to be complied by the DISCOM before being considered for Eligibility.  This information is required to be submitted each year in order to evaluate pre-eligibility of the DISCOM.
<b>Template 3</b>	<b><u>Proposal for evaluation of interest subsidy:</u></b>  This template consists of information in respect of the DISCOM's achievement/ evaluation criteria as defined in the guidelines for evaluation of scores and further submission to the Steering Committee for consideration of approval in respect of the percentage of interest subsidy and quantum of interest subsidy amount.  Details of the progress of projects, interest paid to the lender is also required to be submitted in this template. DISCOMs are required to submit this template on <b>yearly basis</b> .

## Template 2: Proposal for evaluation of Pre- Eligibility

(to be filled by DISCOM)

<b>Name of DISCOM</b>	
<b>Name of State</b>	
<b>Evaluation year (“n” year)</b>	
<b>Year of submission of proposal (“x” year)</b>	
<b>Date of Submission of Proposal</b>	

**DISCOM’s Signature (with Seal/Stamp)**

## FORMAT 2A: PRE-ELIGIBILITY CONDITIONS (PEC)

### PEC 1: Operationalization of SERC/ JERC

S. No.	Particulars	Status	Remarks
1.1	The year for which the latest Tariff Order is issued. Submit a copy of the latest Tariff Order.	Year	

### PEC 2: Release of Subsidy by State Government

S. No.	Particulars	Status	Remarks
2.1	Whether provision for subsidy has been made for Financial Year(x) in the State Annual Budget?	Yes/No/ Not applicable	
(a)	If yes, provide amount of subsidy provisioned for Financial Year (x) along with supporting documents.	Rs Crs	
2.2	Whether subsidy for the Financial Year (x-1) has been received?	Yes/No/ Not applicable	
(a)	If yes, provide amount of subsidy received during Financial Year (x-1) along with supporting documents	Rs Crs	

NOTE: "x" year means the year in which proposal is submitted by the DISCOM.

**DISCOM's Signature (with Seal/Stamp)**

### **PEC 3: Audited Annual Accounts**

<b>S. No.</b>	<b>Particulars</b>	<b>Status</b>	<b>Remarks</b>
3.1	Submit Annual Accounts for (x-1) year.	Yes/No	
3.2	Submit Annual Accounts for (x-2) year.	Yes/No	

NOTE: "x" refers to the year in which Proposal is submitted by the DISCOM.

### **PEC 4: Timely Filing of Tariff Petition**

<b>S. No.</b>	<b>Particulars</b>	<b>Status</b>	<b>Remarks</b>
4.1	Whether Tariff Order has been issued for current year ("x" year) (i.e. year of submission of proposal)	Yes/No	
(a)	If yes, Submit a copy of the Tariff Order.	Yes/No	
4.2	In case interest subsidy proposal is submitted between 1 <sup>st</sup> December to 31 <sup>st</sup> March. Whether the borrower has filed the tariff petition for ensuing financial year (i.e. for coming financial year) with the SERC/ JERC? ("x+1" year)	Yes/No	
(a)	If yes, Submit a copy of the Tariff Petition.	Yes/No	

NOTE: "x" refers to the year in which Proposal is submitted by the DISCOM.

**DISCOM's Signature (with Seal/Stamp)**

**Template 3: Proposal for evaluation of Eligibility  
Criteria for Interest Subsidy**

<b>Name of DISCOM</b>	
<b>Name of State</b>	
<b>Year for which Interest Subsidy sought for (“n” year)</b>	
<b>Year of Submission of proposal (“x” year)</b>	
<b>Total no. of projects covered under NEF</b>	
<b>Total Disbursement availed during the eligible FY (“n” year)</b>	
<b>Total interest paid to lender during the eligible FY (“n” year)</b>	
<b>Confirmation of receipt of previous year’s subsidy from State Govt. (as on date of submission of claim).</b>	<p align="center">Yes (as on date, eligible tariff/revenue subsidy for FY</p> <p align="center">.....</p> <p align="center">has been received from State Govt.)</p>

**DISCOM’s Signature (with Seal/Stamp)**

## **EC-FORMAT 3A: RELEASE OF SUBSIDY BY STATE GOVERNMENT**

<b>S. No.</b>	<b>Particulars</b>	<b>Details</b>
1.1	Whether the eligible revenue subsidy from State Government for the FY (n) is received?	
(a)	Amount of subsidy received for FY (n) (Rs. in cr)	
1.2	Submit confirmation regarding receipt of eligible subsidy for FY (n). Clarification in case of any difference.	(May refer the relevant Note/Page numbers of the Audited Annual Accounts/reports of the DISCOM, if the same is reflected there.)

**Note:** Where 'n' refers to year for which interest subsidy is being claimed.

## **EC-FORMAT 3B: Technical Parameters and Subsidy related information:**

### Technical Parameters and Subsidy related information:

Mention the relevant page of Audited annual accounts. If derived value then provide the calculation details with reference to annual accounts. **In case not available of data in annual accounts, the figures are to be certified by Statutory Auditors/Practising Chartered Accountant.**

<b>S.No.</b>	<b>Particular</b>	<b>Unit</b>	<b>FY : n</b>	<b>FY (n-1)</b>
A	Gross Energy Generated	MU		
B	Less: Auxiliary Consumption	MU		
C	Energy Purchased (gross)	MU		
<b>D</b>	<b>Total Input Energy (A-B+C)</b>			
E	Less; Energy Traded/ Inter-state sales (Net)	MU		
F	Less: Transmission Loss	MU		
<b>G</b>	<b>Net Input Energy (D-E-F)</b>			
H	Energy sold	MU		
I	Revenue from energy traded/ Inter-state sales(with respect to units of E above)	Rs Crs		
J	Subsidy Booked/ Built in the Revenue	Rs Crs		
K	Subsidy received	Rs Crs		

Where 'n' refers to year for which interest subsidy is being claimed.

**DISCOM's Signature (with Seal/Stamp)**

### **EC-FORMAT 3C: Return on Equity (RoE)**

<b>Particulars</b>	<b>Unit</b>	<b>Response</b>
Whether ROE/ ROCE/ any other reasonable return (as per provisions of NTP) have been allowed by SERC/JERC in the Tariff Order for <b>FY (n)</b> ? Where 'n' refers to year for which interest subsidy is being claimed	Yes/No	
If Yes, Submit copy of relevant extract of the Tariff Order <i>(May submit the soft copy or refer the relevant Tariff Order references of the Regulator if the same is available in SERC web-site)</i>		

### **EC-FORMAT 3D: Notification of MYT Regulations**

<b>Particulars</b>	<b>Unit</b>	<b>Response</b>
Whether State Electricity Regulatory Commission SERC/ JERC have issued MYT Regulations?	Yes/No	
If Yes, Submit copy of Notified MYT regulations <i>(May submit the soft copy or refer the relevant reference of the Regulator if the same is available in SERC web-site)</i>		

**DISCOM's Signature (with Seal/Stamp)**



**EC-FORMAT 3E: LOAN DISBURSEMENT & INTEREST  
PAYMENT DURING FY (n)**

(This Format is optional for projects loan taken from REC. REC RO/CO shall provide the details for each project as Annex H may be in Excel format)

Name of the scheme & scheme code	
Name of lender	
Evaluation Year (i.e. the year for which the interest subsidy is being claimed)	
Loan amount sanctioned by lender (Rs. in lakhs)	
Loan Amount (approved by Steering Committee) (Rs. in lakhs)	
Date of 1st Disbursement by lender	
Total disbursement availed from lender against this project during FY (n) (Rs. In lakhs)	
Cumulative Disbursement (Rs. In lakhs)	
Total interest paid to lender against this project during FY (n) (Rs. In lakhs)	
Periodicity of Payment of Interest & Principal(Monthly/Quarterly/Semi Annually/ Annually)	Principal: _____ Interest : _____

**Annexure- H**

S.no	Particulars	Tranche 1	Tranche 2	n...	Total
1	Date of Disbursement				
2	Disbursement Amount (Rs)				
3	Rate of Interest (%)				
4	Interest amount claimed by lender during the year 'n' excluding penal interest, if any (Rs)				
5	Penal Interest if any claimed by the lender (Rs)				
6	Actual Interest Paid during the year 'n' excluding penal interest, if any (Rs)				
7	Penal Interest paid by the borrower, if any (Rs)				

*Note: Where 'n' refers to year for which interest subsidy is being claimed.*

**Certification:**

*This is to confirm that timely payment has been made/ received against this project & calculation details (also in MS Excel file) is attached with this file.*

**DISCOM's Signature (with Seal/Stamp)**